PTO/SB/21 (09-06) Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/686,516 Filing Date TRANSMITTAL 10-11-2000 First Named Inventor **FORM** ARNETT ET AL Art Unit 3623 **Examiner Name** MEINECKE DIAZ, SUSANNA M (to be used for all correspondence after initial filing) **Attorney Docket Number** BL055-GN004 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify **Terminal Disclaimer** below): **Extension of Time Request** ITEMIZED RETURN POST CARD Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks

Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name HOLLISTER, LLP Signature Printed name DAVID A. MANCINO Date Reg. No. 12-22-2006 39,289

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SHARON A. SHELTON Typed or printed name

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant

Arnett, Nicholas D.

Serial No.

09/686,516

Filed On

10/11/2000

Title

SYSTEM AND METHOD FOR COLLECTION AND ANALYSIS OF

ELECTRONIC DISCUSSION MESSAGES

Docket No.

BL055-GN004

Examiner

Meinecke Diaz, Susanna M.

Art Unit

3623

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, the Examiner's attention is directed to the references listed on the attached Forms PTO-SB-08A and 08B. A copy of each of the non patent listed references is provided. Pursuant to 37 C.F.R. § 1.17(p), applicant states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information

{W0860238.1}

Information Disclosure Statement BL055-GN004
Page 2

disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

It is to be understood that the present disclosure of art is in no way intended to be a waiver of any arguments or defenses available to the Applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States. No fee is required. The Commissioner is hereby authorized to charge any additional fees that may be required by this paper, or to credit any overpayment to Deposit Account 50-3072.

Respectfully submitted,

David A. Mancino Reg. No. 39,289

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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/686,516			
Filing Date	10-11-2000			
First Named Inventor	ARNETT ET AL			
Art Unit	3623			
Examiner Name	DIAZ, SUSANNA M.			
Attorney Docket Number	BL 055-GN004			

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	US- C 070 000 D4	10 00 0005	MURAKAMI ET AL.	
	ļ	US- 6,978,292 B1	12-20-2005		
		^{US-} 6,807,566 B1	10-19-2004	BATES ET AL.	
		^{US-} 6,539,375 B2	03-25-2003	KAWASAKI	
		^{US-} 6,493,703 B1	12-10-2002	KNIGHT ET AL.	
		^{US-} 6,393,460 B1	05-21-2002	GRUEN ET AL.	
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FOREIGN PATENT DOCUMENTS						
Examiner Cite No.1	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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Examiner	Date
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known e for form 1449/PTO **Application Number** 09/686,516 INFORMATION DISCLOSURE **Filing Date** 10-11-2000 STATEMENT BY APPLICANT **First Named Inventor** ARNETT ET AL Art Unit 3623 (Use as many sheets as necessary) **Examiner Name** DIAZ, SUSANNA M Attorney Docket Number Sheet 2 2 BL055-GN004

of

Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. KAHN ET AL., Categorizing Web Documents using Competitive Learning: An Ingrediant of a Personal Adaptive Agent, IEEE; c 1997 NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997 NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997 Second	<u> </u>				
Initials* No.¹ the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. KAHN ET AL., Categorizing Web Documents using Competitive Learning: An Ingrediant of a Personal Adaptive Agent, IEEE; c 1997 NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997					
An Ingrediant of a Personal Adaptive Agent, IEEE; c 1997 NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997		No. the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-iss			
			KAHN ET AL., Categorizing Web Documents using Competitive Learning: An Ingrediant of a Personal Adaptive Agent, IEEE; c 1997		
Evaminer Date			NAKASHIMA ET AL., Information Filtering for the Newspaper, IEEE; c 1997		
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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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